	EXECUTIVE **21 AUGUST 2019**	**Agenda Item No. 9a**

Title	Risk Management Annual Report 2018/19
For further information about this report please contact	Richard Barr Audit & Risk Manager Tel: 01926 456815 email:richard.barr@warwickdc.gov.uk
Wards of the District directly affected	Not applicable
Is the report private and confidential and not for publication by virtue of a paragraph of schedule 12A of the Local Government Act 1972, following the Local Government (Access to Information) (Variation) Order 2006?	No
Date and meeting when issue was last considered and relevant minute number	Executive – 25 July 2018 Minute number 40
Background Papers	WDC risk management policy & guidelines. Minutes of Risk Management Group meetings.

Contrary to the policy framework:	No
Contrary to the budgetary framework:	No
Key Decision?	No
Included within the Forward Plan? (If yes include reference number)	Yes – ref no. 1,058
Equality Impact Assessment Undertaken	N/A: no direct service implications

Officer/Councillor Approval		
With regard to report approval all reports _must_ be approved as follows		
Title	**Date**	**Name**
Chief Executive/Deputy Chief Executive	24 July 2019	Chris Elliott
Head of Service	24 July 2019	Mike Snow
CMT	24 July 2019	CMT
Section 151 Officer	24 July 2019	Mike Snow
Monitoring Officer	24 July 2019	Andrew Jones
Finance	24 July 2019	As S151 Officer
Portfolio Holder(s)	25 July 2019	Councillors Day and Hales
Consultation & Community Engagement		
None other than consultation with members and officers listed above.		
Final Decision?	Yes	
Suggested next steps (if not final decision please set out below) N/A		

1   **Summary**

1.1   This report updates the Risk Management Strategy and advises on the
        progress being made in implementing and developing risk management
        throughout the organisation.

2   **Recommendations**

2.1   That Executive reaffirms the Council's Risk Management Strategy, including
        confirming the responsibilities of Members to oversee the risk management
        framework (Appendix A).

2.2   That Executive confirms it is satisfied with the progress being made in
        establishing risk management in the Council, noting the activities
        undertaken during the year that provide evidence of a risk management
        culture (Appendix B).

3   **Reasons for Recommendations**

3.1   As part of their responsibility for overseeing the organisation's risk
        management arrangements, Members are responsible for the Council's Risk
        Management Strategy (Recommendation 2.1) and for developing risk
        management within the Council (Recommendation 2.2).

4   **Policy Framework**

4.1   **Fit for the Future (FFF)**

        The Council's FFF Strategy is designed to deliver the Vision for the District
        of making it a Great Place to Live, Work and Visit. To that end amongst
        other things the FFF Strategy contains several Key projects.

        The FFF Strategy has 3 strands – People, Services and Money and each has
        an external and internal element to it. The table below illustrates the impact
        of this proposal if any in relation to the Council's FFF Strategy.

FFF Strands		
People	**Services**	**Money**
External		
Health, Homes, Communities	**Green, Clean, Safe**	**Infrastructure, Enterprise, Employment**
Intended outcomes: Improved health for all Housing needs for all met Impressive cultural and sports activities Cohesive and active communities.	Intended outcomes: Area has well looked after public spaces All communities have access to decent open space Improved air quality Low levels of crime and ASB.	Intended outcomes: Dynamic and diverse local economy Vibrant town centres Improved performance/ productivity of local economy Increased employment and income levels.

Impacts of Proposal		
Although there are no direct policy implications, risk management is an essential part of corporate governance and will be a major factor in shaping the Policy Framework and Council policies.		
Internal		
Effective Staff	**Maintain or Improve Services**	**Firm Financial Footing over the Longer Term**
Intended outcomes: All staff are properly trained All staff have the appropriate tools All staff are engaged, empowered and supported The right people are in the right job with the right skills and right behaviours.	Intended outcomes: Focusing on our customers' needs Continuously improve our processes Increase the digital provision of services.	Intended outcomes: Better return/use of our assets Full Cost accounting Continued cost management Maximise income earning opportunities Seek best value for money.
Impacts of Proposal		
Although there are no direct policy implications, risk management is an essential part of corporate governance and will be a major factor in shaping the Policy Framework and Council policies.		

4.2 Supporting Strategies

Each strand of the FFF Strategy has several supporting strategies but description of these is not relevant for the purposes of this report.

4.3 Changes to Existing Policies

This section is not applicable.

4.4 Impact Assessments

This section is not applicable.

5 Budgetary Framework

5.1 Although there are no direct budgetary implications arising from this report, risk management performs a key role in corporate governance including that of the Budgetary Framework. An effective risk management framework helps to ensure that the Authority manages its resources and achieves its objectives economically, efficiently and effectively.

6 Risks

6.1 The entire report is, in effect, about risks as its purpose is to affirm the Council's arrangements for managing its risks.

7   **Alternative Options(s) Considered**

7.1   The report is not based on 'project appraisal' so this section is not
        applicable.

8   **Background**

8.1   The overriding objective for risk management is to embed it within the
        organisation so that it is a seamless, but fundamental, part of the
        organisation's processes and not viewed as a separate bureaucratic activity
        with little value. However, as with all objectives of this nature, there is no
        specific picture of what a fully risk-embedded organisation looks like and
        the goal of embedding risk management is an ongoing journey rather than
        one with a definite ending.

8.2   To help achieve the objective of embedding risk management throughout
        the organisation, the Council has a Risk Management Strategy. This is set
        out as Appendix A to this report.

8.3   Evidence of the application of risk management and of a risk management
        culture is set out as Appendix B.