

Generic		Oct 2016	Nov 2016	Dec 2016	Jan 2017	Feb		Mar		Apr	May	Jun	Jul	Aug		Sept Annual Review (Peer etc)	Oct	Nov	Nov Portfolio Holder review	Dec	Jan 2017
GR1.	Staff Resources - Loss of key staff/skills, Appointment & Retention, ill health and other emergencies. HCP restructure, Staff Transitions						PH Review		PH Review						PH Review	Risk Decrease - Recruitment to vacant posts. Officers not yet in post (october)					
GR2.	Health and Safety risks to staff - evening/ night time enforcement, Lone working, staff safety, Injuries and abuse.																				
GR3.	Driving for work (council and personal vehicles) – Accidents.																				
GR4.	Home & Mobile working – poor service delivery level and health and safety risks present.																				
GR5.	Inadequate training provided to staff		Training Provided																		
GR6.	Failure to identify legislative changes																				
GI1.	Loss of IT and records Web-site Maintaining Computerised Systems and Records Integration of different software solutions																				
GI2.	Loss of accommodation/ Non availability of Office Building																				
GS1	Impact of climate change on service delivery																				
GS2	Failure of other WDC/ partners/ stakeholders department to provide services as agreed / expected (neighbourhood/ property)																				
GE1	Increased demand on services due to Emergency situation; Emergency stops basic Council Operations																				
GE2	Widespread disaster beyond local capabilities to cope and reasonable attempts to plan																				
GE3	Ineffective Emergency preparedness																				
GD1	Provision of incorrect/ poor quality information/ advice																				
GD2	Possibility of Fraud/ Corruption																				
GD3	Possibility of Non-compliant procurement Ineffective procurement																				
GD4	Failure to correctly monitor/ manage budgets Impact of the self service system upon budget control										Decreased Risk – Implemented a solution (under test)										
GD5	Compliance with legal duty. / Failure to deliver service within statutory timescales/ Failure to meet requirements of civil contingencies act.																				
GD6	Not applying Equality and Diversity principles																				
GD7	Changes to Economic climate																				
GD8	Unable to meet levels																				

	of service expected																			
GD9	Failure to make progress on corporate and departmental priorities													Decreased Risk						
GD10	Inappropriate third party disclosure Non-compliance with Sharing of Information Protocol																			
GD11	Failure to deliver projects to target e.g. complete design and construction projects on time	Completed Construction projects																		
GD12	Failure to maintain calibration of equipment																			
	SPECIFIC																			
SR1	Inappropriate issue of licence/ misuse by licensee Failure to properly determine licence																			
SR2.	Incorrect conditions attached to licence																			
SR3	Failure to set appropriate fees		Approved & Review completed																	
SR4	Loss of Paper records	Project Completed																		
SR5	Failure to carry out routine/ reactive work Failure to deal with those failing to comply with licence conditions																			
SR6	Incorrect FHRS management																			
SR7	Failure of Primary authority arrangements. Provision of poor or incorrect assured guidance																			
SR8	Legal challenge to fee setting																			
SS1	Non-compliance with Section 17 of the Crime & Disorder Act (1998)																			
SS2	Provision of incorrect information to police or other agencies																			
SS3	Reduced Funding for Community Safety Partnership Initiative																			
SS4	Loss of power to CCTV & Control room																			
SS5	Effectiveness of Dog Service																			
SS6	Theft of council pest control vehicles																			
SS7	Unsuitable use of poisons																			
SS8	Identified Flood Alleviation Schemes unable to be delivered or supported									SLA introduced					Decreased risk					
SS9	Breakdown of affiliated AURN monitoring station (Hamilton Terrace)																			
SS10	Failure to maintain contaminated land database																			
SS11	Failure to improve air pollution (NO2) levels																			
SC1	Informal Partnership working with WCC fails														Decreased Risk					
SC2	Failure to or delay in payments to grants recipients Incorrect amounts paid																			