

Title: Significant Risk Register

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Portfolio Holder: Councillor Chilvers

Wards of the District directly affected: All

<b>Approvals required</b>	<b>Date</b>	<b>Name</b>
<b>Portfolio Holder</b>	13 December 2024	Councillor Chilvers
<b>Finance</b>	13 December 2024	Andrew Rollins
<b>Legal Services</b>	Not applicable	
<b>Chief Executive</b>	13 December 2024	Chris Elliott
<b>Strategic Director</b>	13 December 2024	Dave Barber
<b>Head of Service(s)</b>	13 December 2024	Andrew Rollins
<b>Section 151 Officer</b>	13 December 2024	Andrew Rollins
<b>Monitoring Officer</b>	13 December 2024	Graham Leach
<b>Equalities, Diversity and Inclusion Business Partner</b>	13 December 2024	Daniel Keating
<b>Leadership Co-ordination Group</b>	13 December 2024	Various
<b>Final decision by this Committee or rec to another Cttee / Council?</b>	Yes	
<b>Contrary to Policy / Budget framework?</b>	No	
<b>Does this report contain exempt info/Confidential? If so, which paragraph(s)?</b>	Yes – appendix 2	
<b>Does this report relate to a key decision (referred to in the Cabinet Forward Plan)?</b>	No	
<b>Accessibility Checked?</b>	Yes	

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## Summary

Every organisation needs to consider how it identifies, assesses, and manages risk. Risk can be both negative (a threat) and positive (an opportunity).

This report sets out the latest versions of the Council's Significant Risk Register (SRR) for review by the Committee. The registers have been reviewed by the Council's Senior Leadership Team (SLT) and Cabinet since they were last reported to this committee, with the amendments made being detailed on the Change Logs on the SRRs, and summarised within this report.

## Recommendations

- (1) That Audit and Standards note the contents of this report.
  - (2) That Audit and Standards review the registers (as set out in the appendices to this report) and consider if any further actions should be taken to manage the risks facing the organisation.
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## 1 Reason for the Recommendations

- 1.1 Effective risk management contributes to the Council's Corporate Governance arrangements by ensuring that there are effective management and internal control systems in place to support decision making.
- 1.2 This report seeks to assist Members to fulfil their role in overseeing the organisation's risk management framework.
- 1.3 The SRR (with the associated change log) is set out in Appendix 1 and the confidential SRR in Appendix 2. As set out in the Policy, Audit and Standards Committee will get a quarterly report on the SRR in order for them to be able to monitor changes and note the progress against the actions set out within it. (NB – for ease of reading, Members may find it easier to use the versions of the risk register available on the portal which have been recreated as these appendices).
- 1.4 As suggested above, the changes that have been made since these registers were last presented to this committee (the first time that the new registers were covered) are covered within the Change Logs.
- 1.5 The majority of the amendments made were to reflect staffing and Portfolio Holder changes along with the changes to the structure of the service areas. Other 'general' changes were to add in (and complete as appropriate) the new 'logs' and columns to cover the changes, actions needed and risks that have become issues, and to correct some minor errors and formatting.
- 1.6 Further changes were made to risk titles, descriptions and progress notes to better reflect the current position and a number of risks have had their review dates updated.
- 1.7 Some specific changes have been made to remove risks from the SRRs, with these to be placed within the relevant Service Area or Project / Programme risk registers as appropriate, due to the current risk scoring and assessment of their overall 'significance' to the organisation. These covered risks in relation to:
  - Ineffective workforce planning
  - Delivery of the Biodiversity Action Plan
  - Bowling greens
  - Business rates reset

- Office relocation
  - the prolonged loss of CCTV
  - Stoneleigh Arms
- 1.8 Another risk (Kenilworth Wardens Relocation) was removed as it was now classed as an Issue (and has been recorded on the Issues Log accordingly).
- 1.9 There have also been new risks added to the registers in relation to:
- Royal Pump Rooms roof
  - One Warwick Street
  - National Insurance increase
  - Government White Paper regarding Local Government Structure
- 1.10 Other specific changes have also been made where the scores have been amended to reflect the current perception of the likelihood of the risk occurring:
- The Bowling Greens residual risk likelihood was reduced from 3 to 2 (which led to its demotion to the Service Area Plan risk register)
  - The Closure of Accounts residual risk likelihood was increased from 2 to 3
- 1.11 Following the consideration of risks by SLT, a number of further actions are required (e.g. identification of actions to address risks where the responses are anything other than Accept). However, this is still a work in progress.
- 1.12 Cabinet have also queried whether certain risks recorded on the Confidential SRR need to be moved to, or at least reflected on, the Open version. This is due to be considered by the Deputy Chief Executive, the Head of Governance and the Audit and Risk Manager.

## **2 Alternative Options**

- 2.1 No other options have been considered, as the Council should have a risk a strategic risk register as part of its corporate governance arrangements.

## **3 Legal Implications**

- 3.1 There are no legal implications identified as a result of this report.

## **4 Financial**

- 4.1 There are no financial implications identified as a result of this report.

## **5 Corporate Strategy**

- 5.1 Warwick District Council has adopted a Corporate Strategy that sets three strategic aims for the organisation. The purpose of risk management is to identify and assess significant and strategic risks that could affect the Council achieving its objectives. Therefore, risk management plays a critical role in the implementation of all of the Council's corporate strategy objectives.

## **6 Environmental / Climate Change Implications**

- 6.1 There are no environmental or climate change implications identified as a result of this report.

## **7 Analysis of the effects on Equality**

- 7.1 An EIA is not required as part of this report.

## **8 Data Protection**

- 8.1 There are no Data Protection implications identified as a result of this report.

## **9 Health and Wellbeing**

- 9.1 There are no health and wellbeing implications identified as a result of this

report.

## **10 Consultation**

10.1 As highlighted above, the risk registers are being reviewed by different Officer and Member groups.

### **Background papers:**

### **Supporting documents:**