

 Council – 27 June 2012		Agenda Item No. 18
Title	Finance & Audit Scrutiny Committee End of Term Report	
For further information about this report please contact	Peter Dixon Committee Services Officer 01926 456114 committee@warwickdc.gov.uk	
Service Area	Civic & Committee Services	
Wards of the District directly affected	n/a	
Is the report private and confidential and not for publication by virtue of a paragraph of schedule 12A of the Local Government Act 1972, following the Local Government (Access to Information) (Variation) Order 2006	no	
Date and meeting when issue was last considered and relevant minute number		
Background Papers	Agendas/minutes of 2011/12 meetings	

Contrary to the policy framework:	No
Contrary to the budgetary framework:	No
Key Decision?	No
Included within the Forward Plan? (If yes include reference number)	No

Officer/Councillor Approval		
With regard to officer approval all reports <i>must</i> be approved by the report authors relevant director, Finance, Legal Services and the relevant Portfolio Holder(s).		
Officer Approval	Date	Name
Deputy Chief Executive		
Chief Executive		
CMT		
Section 151 Officer		
Legal		
Finance		
Portfolio Holder(s)		
Consultation Undertaken		
Final Decision?	Yes	
Suggested next steps (if not final decision please set out below)		

1. **SUMMARY**

- 1.1 An end of term report to the Council on the work the Committee have undertaken during the year.

2. **RECOMMENDATION**

- 2.1 That Council notes the work of this Committee during the municipal year 2011/12, as detailed in appendix A to the report.

3. **REASONS FOR THE RECOMMENDATION**

- 3.1 Under Article 6 of the Council's constitution Overview & Scrutiny Committees and Policy Committees are required to provide an end of term report to the Council on work they have undertaken during the year.
- 3.2 The matters considered during the year are attached at appendix A to the report. This will be updated to include matters considered at this meeting, before it is submitted to Council.

4. **ALTERNATIVE OPTION CONSIDERED**

- 4.1 There are no alternative options as this report complies with the requirements of Article 6 of the Council's Constitution.

5. **BUDGETARY FRAMEWORK**

- 5.1 The recommendations of the report do not affect the Council's budgetary framework.

6. **POLICY FRAMEWORK**

- 6.1 The recommendations of the report do not affect the Council's policy framework.

Items considered by
Finance & Audit Scrutiny Committee
2010/11

CHAIR'S INTRODUCTION

I have commented regularly at the year end on the exceptional ability of the Finance and Audit Scrutiny Committee to scrutinise a tremendous range of topics and to influence the Executive Committee's thinking. I am really proud of the Committee and very grateful to our 2 very professional officers, Andy Jones and Mike Snow, who are always ready with patience and wisdom to guide our deliberations. I am also grateful to Peter Dixon for his clear interpretation in the minutes of some of the most complicated debates.

This year we have continued to influence the Council's attitude to procurement with real strides being made by many departments in this field. We have also highlighted the issue of recognising risks and making sure due consideration of any policy includes addressing risks at all levels.

This year's latest external Audit Commission report was very positive about the high standard of the Council's financial and auditing activities.

I am sure that the quality of debate and dedication of what is now a widely experienced F&A Committee has contributed to the external confidence in the management of Warwick District Council's finances.

AUDIT & SCRUTINY ITEMS

- Progress report on Enterprise Projects
- Review of Effectiveness of Internal Audit 2010/11
- Internal Audit Annual Report 2010/11
- Internal Audit Quarter 4 2010/11 Progress Report
- Treasury Management Activity Report for the Period 1 January 2011 to 31 March 2011
- Membership nominations for the Fit for the Future Oversight Group
- Statement of Accounts 2010/11
- Anti-Fraud and Corruption Progress Report
- Procurement Progress Report
- Scrutiny of Partnerships – Shared Business Rates Service
- Treasury Management Activity Report for the Period 1 April 2011 to 30 June 2011
- 2010/11 Annual Treasury Management Report
- Scrutiny of Partnerships – Warwickshire Direct Partnership
- Leisure Centres Annual Memberships Investigation
- Internal Audit Quarter 1 2011/12 Progress Report
- Review of Effectiveness of Internal Audit 2010/11 – Action Plan
- Risk Management Annual Report
- Annual Governance Report from External Audit
- Statement of Accounts 2010/11
- National Fraud Initiative Update
- Benefit Fraud Investigation – Performance

- Annual Audit Letter 2010/11
- Future of Local Government External Audit
- Treasury Management Activity Report for the Period 1 July 2011 to 30 September 2011
- Internal Audit Quarter 2 2011/12 Progress Report
- Rural/Urban Capital Improvement Scheme Update
- Procurement Progress Update
- Treasury Management Activity Report for the Period 1 October 2011 to 31 December 2011
- External Audit Report – Certification of Claims and Returns – Annual Report
- Audit Opinion Plan 2011/12
- Update: Investigation into Leisure Centres Annual Memberships
- Internal Audit Strategy and Plan 2012/13 – 2014/15
- Internal Audit Quarter 3 2011/12 Progress Report
- Review of Finance Risk Register

ROUTINE ITEMS

- Comments from the Executive
- Forward Plan
- Review of the Work Programme

EXECUTIVE ITEMS CONSIDERED BY THE COMMITTEE

June 2011

- Arrangements to Manage the Housing Revenue Account (HRA) Self Financing Project
- Terms of the Warwick District Council / Waterloo Housing Group Development Joint Venture
- Royal Spa Centre Seating Project
- Final Accounts 2010/11
- Rural/Urban Capital Improvement Scheme
- Continuation of Employment Support Activities
- Contract Relet 2013
- Coventry and Warwickshire Local Enterprise Partnership: 5 Year Strategy
- Proposed Enterprise Zone

July 2011

- Significant Business Risk Register
- Catering Contract
- Approval of Funding Request
- Habitat Biodiversity Audit Partnership
- Financial Strategy and Budget Prospects

August 2011

- Olympics 2012
- Sydenham Sports Centre
- Future Funding for the Voluntary and Community Sector
- Budget Monitoring to 30 June 2011
- The Local Government Boundary Commission for England Electoral Review
- Support Services Review

September 2011

- Proposal to install Photovoltaic Systems to suitable WDC Housing and Corporate Properties
- Update on the Fit for the Future Change Programme
- Equipment Renewal Reserve
- Use of Delegated Powers
- Wilton House – Affordable Housing Contribution
- Request for Redundancy and Early Retirement Payment

October 2011

- Significant Business Risk Register
- Fees and Charges 2012/13
- Events in Parks – Charges Policy
- Future Provision of Parking at Abbey Fields
- Racing Club Warwick
- Business Rates Retention
- Localisation of Council Tax Support

November 2011

- Budget Monitoring to 30 September 2011
- Strategic Principles for Tourism and the Visitor Economy
- Corporate Property Repairs and Improvement Programme 2011/12 October Update
- Rural/Urban Capital Improvement Initiative Scheme
- Warwick Race Course Rent Review
- Disposal of 21 Church Street, Warwick

December 2011

- Allocation of budget to deliver Voluntary Sector Services in Warwick District 2012-2015
- Retail Development In Leamington Town Centre – Parts One & Two
- Technical Reform of Council Tax
- Rural / Urban Capital Improvement Initiative Scheme
- Local Government Pension Scheme Consultation
- Approval of Compensation Payment
- Sale of Art Gallery

January 2012

- Significant Business Risk Register
- HRA Draft Business Plan 2012 – 2013 and Self Financing
- Photovoltaic Panels report
- Rural Housing Biomass Heating Project and Exception Report
- General Fund Revenue Base Estimates Revised 2011/12 and Original 2012/13
- HRA Revenue Base Estimates Revised 2011/12 and Original 2012/13
- Newbold Comyn Leisure Centre Water Flume Replacement Exception Report

February 2012

- Treasury Management Strategy Plan for 2012/2013
- Budget 2012/13 and Council Tax – Revenue & Capital
- Housing Revenue Account Budget 2012/13 and Housing Rents
- Approval of Heating, Lighting and Water Charges 2012/13 – Council Tenants
- 2012/13 Corporate Repairs and Improvements Programme
- Members' Allowances Scheme
- Play Area Review

March 2012

- Housing Revenue Account Draft Business Plan 2012 – 2062 and Self Financing
- C&CS Review – Team Structure. ICT Strategy & Channel Strategy
- Tourism & Visitor Economy
- South West Warwick (Chase Meadow) Community Centre Development
- Kenilworth Public Service Centre
- Code of Procurement Practice: Exception Request
- Court Street Creative Arches
- Request for transfer of funds from Planning Appeals Reserve

April 2012

- Leamington Town Centre Initiatives
- Service Transformation Bid – Operational Property Lifetime & Condition Surveys
- Significant Business Risk Register
- Warwick Heritage Improvement Programme (Saltisford)
- Fit for the Future Change Programme Update
- Millbank Open Space Improvements

ITEMS CONSIDERED BY JOINT MEETINGS OF THE FINANCE & AUDIT SCRUTINY COMMITTEE AND OVERVIEW & SCRUTINY COMMITTEE

- Peer Challenge (Executive item)